

Personal Information Management (PIM) Policy Statement

The Taiwan Futures Exchange Corporation (TAIFEX) is committed to protecting and managing personal information. TAIFEX complies with the requirements of the Personal Information Protection Act, protects the rights of data subjects, seeks to reduce the possible impact of any infringements of personal-information files, and continues to operate and improve its personal information management (PIM) systems. TAIFEX has issued this statement to demonstrate its commitment to the protection of personal information.

TAIFEX's PIM policy statement is as follows:

1. TAIFEX does not collect, process, use or internationally transmit personal information beyond the scope of the specified purpose or business requirement, and TAIFEX fulfills its legal obligation of notification.
2. TAIFEX's processing of personal data is carried out in a fair and lawful fashion.
3. TAIFEX has established a register of personal-information files, and designated a specialist to protect the files and hold them in safekeeping in accordance with regulations.
4. TAIFEX safeguards the accuracy of personal data, and corrects and supplements it automatically and at the request of the data subject.
5. TAIFEX guarantees that data subjects may exercise their legal rights with regard to their personal information.
6. TAIFEX processes personal data in accordance with the company's PIM and information-security methods. TAIFEX establishes internal personal-information risk ratings and sets control mechanisms in accordance with these ratings. TAIFEX utilizes different mechanisms to manage the personal information of minors and disabled adults.
7. TAIFEX has strengthened its management of personal information, personnel and equipment, and its data security, to ensure the security of personal-information files.
8. TAIFEX references and utilizes both foreign and domestic PIM standards and PIM indices when constructing and installing PIM systems and processes to safeguard personal information.
9. TAIFEX identifies stakeholders' needs and expectations of its PIM systems, and their degree of participation in its PIM-system administration and operations.
10. When TAIFEX commissions third parties to collect, process, utilize or internationally transmit personal information, it will implement appropriate supervisory and security requirements.
11. TAIFEX clearly delineates the PIM privileges and obligations of employees at every level.
12. TAIFEX has elevated its employees' awareness of personal-information security and protection, and regularly provides personal-information-security education and training.
13. TAIFEX ensures the appropriateness and legality of uses of personal information that fall outside the scope of the purposes permitted under the Personal Information Protection Act.
14. TAIFEX has established personal-information-incident emergency-response procedures, and notifies data subjects of such incidents in an appropriate manner. By issuing this statement, TAIFEX is making an explicit statement about the importance it places upon protecting and managing personal information. Every employee should fully understand the content of this statement to ensure the security and sustainable management of TAIFEX's personal-information files.

Bing-Jing Huang

CEO

Taiwan Futures Exchange Corporation

Revised (V1): January 16, 2019