

TAIFEX Whistle-blowing System

Statement of Collecting, Processing and Using Personal Data

TAIFEX whistle-blowing system is established for the purpose of ensuring the sustainable operation and development. Anyone who discovers that any staff of TAIFEX has committed crimes, frauds, or violation of relevant laws and regulations may report via the following channels:

- ✓ E-mail : twb@taifex.com.tw
- ✓ Hotline : +886-2-2366-3330
- ✓ Fax : +886-2-2365-9936
- ✓ Address : 14th F, 100 Roosevelt Rd., Sec. 2, Taipei, Taiwan
- ✓ Recipient : Taiwan Futures Exchange Head of Internal Audit

To deal with reporting cases, TAIFEX will collect the prosecutor's name, contact information (including the address, telephone numbers, fax numbers, and e-mail address), and other relevant information (including recording of phone calls via hotline) to contact with the prosecutor for clarifying the reporting case or to notify the prosecutor investigation result. TAIFEX is committed to ensuring the confidentiality of the prosecutor's personal data. TAIFEX will not be able to inform the prosecutor, if the prosecutor does not leave any contact information.

The custodial period of the aforementioned information, except otherwise regulated by laws, is 5 years as of the date of processing; in case of lawsuits concerning about reporting cases, any relevant material shall be retained until the end of the lawsuits.

Under the Personal Data Protection Act, the prosecutor may exercise the following rights by e-mail :

1. the right to make an inquiry of and to review his/her personal data;
2. the right to request a copy of his/her personal data;
3. the right to supplement or correct his/her personal data;
4. the right to demand the cessation of the collection, processing or use of his/her personal data; and
5. the right to erase his/her personal data.