



#### 4. 部門職掌 / Departments

依業務性質，分設交易、結算、監視、期貨商輔導、資訊規劃、資訊作業、企劃、管理等八部門，另設內部稽核隸屬董事會，職掌如下：

TAIFEX has eight departments, namely Trading, Clearing, Surveillance, Intermediaries Compliance and Service, System Development, Computer Operations, Business Development, and Administration, as well as the Internal Audit division that reports directly to the Board of Directors. Their duties are as follows:

##### (1) 交易部 / Trading Department

掌理期貨交易業務、市場發展及公共關係等事項。

The Trading Department is responsible for futures trading operations, market development, and public relations.

##### (2) 結算部 / Clearing Department

掌理結算交割作業、結算風險管理及結算會員服務等事項。

The Clearing Department is responsible for clearing and settlement operations, risk management, and clearing-member services.

##### (3) 監視部 / Surveillance Department

掌理期貨交易市場監視作業與相關專案分析及查核等事項。

The Surveillance Department is responsible for futures market surveillance operations, and related case analyses and audits.

##### (4) 期貨商輔導部 / Intermediaries Compliance and Service Department

掌理期貨商財務、業務之查核及其內部稽核作業之督導暨期貨商服務及管理等事項。

The Intermediaries Compliance and Service Department is responsible for auditing FCMs' finances and businesses, overseeing their internal audit operations, and handling matters related to their services and management.

**(5) 資訊規劃部 / System Development Department**

掌理資訊系統之規劃、開發與維護、管制作業及資通安全業務等事項。

The System Development Department is responsible for IT planning, development, maintenance, controls and information security.

**(6) 資訊作業部 / Computer Operations Department**

掌理電腦設備之運作與管理及期貨交易資訊使用者之資訊服務等事項。

The Computer Operations Department is responsible for operations and management of computer systems, and information services for futures trading information users.

**(7) 企劃部 / Business Development Department**

掌理商品開發、研究發展、國際事務及法律事務等事宜。

The Business Development Department is responsible for product development, R&D, international affairs, and legal affairs.

**(8) 管理部 / Administration Department**

掌理人事、庶務、會計財務、秘書業務等事項。

The Administration Department is responsible for matters related to personnel, accounting, finance, secretarial services, as well as other general affairs.

**(9) 內部稽核 / Internal Audit**

掌理對各部門財務與業務之查核。

The Internal Audit is responsible for auditing the finances and operations of every department.